



Chris Wain-Lowe, BA, MBA

Chief Investment Officer, Executive Vice President and Portfolio Manager

Chris Wain-Lowe has lived and worked in four continents: Europe, Asia, Africa, North America and the Caribbean. His early corporate finance experiences embraced the energy, natural resources, shipping and utility industries. As Chief Executive Officer of four financial services companies, Chris' experiences are both as businessman and investor.

As Head of the Utilities team, Barclays' Large Corporate Banking (1989-1992), Chris' team won most of the syndicated finance, large value leasing and project finance mandates during the UK's water and electricity privatizations – with Barclays Syndications consequently being awarded by Euromoney magazine as 'Best European Syndicate Bank' in 1991 and again in 1992. As CEO he led Barclays business in Greece, transforming it to be more corporate focused and selling its island retail network to Bank of Nova Scotia (1995). As CEO he led Barclays' South African operations in Botswana to best in the region from 1997-2000. The Banker magazine ranked Barclays as the 'Best Bank' in Botswana and the 'Best Bank' in Africa in 2000. During Chris' three years with the bank, its market capitalization rose to US\$300 million from US\$80 million – a compound annual growth rate of more than 55%. As Group CEO of National Commercial Bank Jamaica Limited (NCB), he led the bank from 2000 to 2002. During his tenure the bank's capitalization rose to US\$400 million from US\$100 million – a compound annual growth rate of 100%.

As Executive Vice President, he promoted the launch and listing on the Toronto Stock Exchange of ten closed-end funds raising over \$770 million (2004 to 2007). He is currently the Chief Investment Officer of Portland Investment Counsel Inc. and lead portfolio manager of several investment offers, including the Portland Private Income Fund and Portland Global Sustainable Evergreen Fund.

The Portland Private Income Fund has received several Canadian Hedge Fund Awards in the Private Debt Category ranking: 3rd place in 2021 for Best 1 Year and 3 Year Return; 3rd place for Best 5 Year Return in 2020; and 1st place for Best 5 Year Return and Best 5 Year Sharpe Ratio in 2018. In addition, the Portland Global Sustainable Evergreen Fund, was a winner of the 2021 Wealth Professional 5-Star award for one of Canada's top ESG (Environmental, Social and Governance) Funds.

Married over 30 years with three sons, Chris has a BA degree from University of North Wales and an MBA from University of Exeter. He is an Associate of the Chartered Institute of Bankers and holds their Financial Services Diploma, placed first in his year (1989) of completion.

¹ The Canadian Hedge Fund awards are based solely on quantitative performance data of Canadian hedge funds with Fundata Canada managing the collection and tabulation of the data to determine the winners. There is no nomination process or subjective assessment in identifying the winning hedge funds. The 2018 awards were based on 207 Canadian hedge funds to June 30th, 2018; the 2019 awards were based on 197 Canadian hedge funds to June 30th, 2019; the 2020 awards were based on 221 Canadian hedge funds to June 30th, 2020 and the 2021 awards are based on 226 Canadian hedge funds to June 30, 2021. The Sharpe ratio is a measure for calculating risk-adjusted returns. The Sharpe ratio is the portfolio return in excess of the risk-free rate divided by the volatility of the portfolio.

² Wealth Professional reached out to its network of advisors across Canada and asked that they name the fund providers who were delivering the best ESG Funds based on a combination of reflection of values, track record, security selection, fees and fund manager performance.